



ESOP Workshop Fiduciary Responsibilities and Engaging Employees

THURSDAY, AUGUST 27, 2015 • 9:30 am – 2:30 pm

FORUM ARCHITECTURE & INTERIOR DESIGN

745 Orienta Ave., Suite 1121 • Altamonte Springs, FL 32701 • 407-830-1400 • www.forumarchitecture.com

FORUM

9:30 am Registration, Continental Breakfast, Meet & Greet

10:00 am The Roles of Trustees and Board Members as Fiduciaries: *Jay Van Hyde, Dean Mead; Will Stewart, PCE; Michael New, Evolve Bank and Trust*

This session will address the roles the Trustee, the ESOP Committee and the Board of Directors play in the ongoing management of the ESOP. The role depends on the type of trustee engaged to manage the Trust assets. Whether you are an individual employed by the plan sponsor (in-house trustee), part of an ESOP Committee which directs a custodian or trustee (a third party that provides a low level of investment advice) or you employ a full service third party Trustee there are core duties and processes which will assist you in managing risk. This session is designed to discuss the fiduciary responsibilities associated with your ESOP that are imposed by ERISA and provide best practices to fulfill those responsibilities.

11:45 pm Lunch and Networking: Enjoy lunch and continue networking with your ESOP peers.

12:45 pm Staying Compliant and Addressing the Who, What, When, Where, and Why for Effective Communication: *Carla Klingler, PyraStar Pension Consultants; and ESOP Company panelists*

As a practical follow up to the morning discussion, this Interactive Roundtable discussion will be aimed at sharing innovative and effective ideas for communicating the financial status of the company to various employee groups. This facilitated discussion will feature some specific ideas from ESOP company representatives along with ample opportunity for everyone to share what their companies have done OR are planning to do.....to inform, inspire, educate, and deliver news (good or bad) to employees about the financial state of the company and the company stock value. ALL are invited and encouraged to come TO THE TABLE with QUESTIONS and IDEAS.

2:30 pm Adjourn

Driving Directions: From Tampa: Take I-4 eastbound to the Altamonte Springs/Hwy 436 exit 92. Turn right.

From Daytona: Take I-4 westbound to the Altamonte Springs/Hwy 436 exit 92. Turn left.

Drive approximately 2 miles. Turn right on Maitland Ave. Proceed 1 block. Turn left on Orienta Ave. Forum offices are in the plaza on the left. (Look for the red square Forum logo over the door.)

Sponsors:



FLORIDA ESOP WORKSHOP REGISTRATION FORM • FORUM ARCHITECTURE

Register Early - Space is limited.

ESOP Association Members: \$40/member
Non-Members: \$60/person
(Includes continental breakfast and lunch)

Pay via credit card online at: <https://www.esopassociation.org/meetingregistration/?meetingID=136>

OR

Fax or email form and follow up by mailing a check, payable to The ESOP Association to:

Donna Walseth • 906 97th Lane NE • Blaine, MN 55434 • dwalseth@esopexec.org • 763-785-4122 (phone) • 763-785-4168 (FAX)

Company		Address	
City, State, Zip		Phone	
Amount	Name	Email	Title

_____ **Total Due Checks Payable to: The ESOP Association**

Cancellation deadline: August 17. You may always send a substitute.

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Recommended Hotels: http://www.tripadvisor.com/Hotels-g29161-Altamonte_Springs_Florida-Hotels.html