

## REGISTRATION FORM - DEADLINE APRIL 9, 2015

TO REGISTER AND PAY BY CREDIT CARD ONLINE, go to [www.esopassociation.org](http://www.esopassociation.org).

Under the "Chapters" menu, click on "Chapter Events" and scroll down to "Heart of America Chapter - Annual Spring Kansas City ESOP Seminar (Kansas City, MO)"  
Members must login to website to receive member price.

**Registration MUST BE RECEIVED by April 9, 2015, to qualify for discounted pricing.**

ESOP Association Member ~ Each Attendee Pays:

Non-member ~ Each Attendee Pays:

If you are a non-member of The ESOP Association, do you have an ESOP? Yes  No

If you are a non-member of The ESOP Association, are you an ESOP service provider? Yes  No

On or Before 04/09/15 After 04/09/15 and Walk-ins

\$ 60.00 \$ 85.00

\$100.00 \$125.00

## TO REGISTER AND PAY BY CHECK, COMPLETE THE FORM BELOW

Provide the name of each attendee AS IT SHOULD BE PRINTED ON THEIR NAME TAG. State the attendee's company position, i.e., CEO, VP, CFO, HR Generalist ("HR"), ESOP Committee Member ("ECM"), etc., beside the name.

Name(s) \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

City / State / Zip \_\_\_\_\_ Phone \_\_\_\_\_

Contact \_\_\_\_\_ E-Mail \_\_\_\_\_

(Required for a registration confirmation.)

Make check payable to The ESOP Association. Check must be received by April 9, 2015. Mail to:

Heart of America Chapter  
The ESOP Association  
P.O. Box 407  
Amherst, OH 44001-0407

(DO NOT mail registration and payment to National Office in Washington, DC)

CANCELLATION POLICY: **NO REFUNDS** will be given AFTER April 9, 2015. Substitutions non-member professional replaces a member professional.)

Questions? Contact:

Karrie Imbrogno  
HOA Chapter Administrator  
Tele: 440.989.1552

E-mail: [karrie@esopchapters.com](mailto:karrie@esopchapters.com)

The Heart of America  
Chapter of  
The ESOP Association  
Presents Its

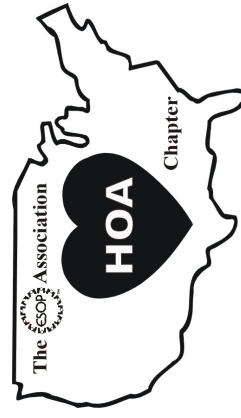


THURSDAY, APRIL 16, 2015  
9:00 A.M. - 12:00 P.M.

Registration and  
Continental Breakfast  
Begin at 8:30 A.M.

HOSTED BY COMMERCE BANK  
AND HELD AT

Commerce Trust Building  
15<sup>TH</sup> Floor Auditorium  
922 Walnut Street  
Kansas City, MO 64141



## SEMINAR PROGRAM

- 8:30 a.m. - 9:00 a.m. Registration and Continental Breakfast
- 9:00 a.m. - 9:05 a.m. Welcome and Announcements
- 9:05 a.m. - 10:25 a.m. Real World Issues for Mature ESOPs  
Break
- 10:25 a.m. - 10:40 a.m. GreatBanc / DOL Settlement: What Should You Know?
- 10:40 a.m. - 12:00 p.m.

## REAL WORLD ISSUES FOR MATURE ESOPs

**Gary Shorman, Eagle Communications, Inc., Hays, KS (Moderator)**  
**Cindy Dwyer, Mayer Hoffman McCann, P.C., Leawood, KS**  
**John C. Korschot, Stern Brothers Valuation Advisors, Kansas City, MO**  
**Greg Wiemers, Performance Contracting Group, Inc., Lenexa, KS**

Mature ESOPs face concerns not present when the ESOP was established. There will be an imbalance between “haves” and “have nots” where employees newer to the company may not have many, if any, shares of employer securities in their accounts. There will be adjustments necessary for some years to accommodate the cash flow demands of the repurchase obligation. There may be reasons to modify the diversification election for senior members of the ESOP. It is likely that one of the trusted ESOP advisors will have to be replaced. And, what happens when all, or part, of the company is sold, or the company acquires another business? Learn how an ESOP is not a “set it and forget it” type of benefit plan. The ESOP will require analysis and updates every year to assure that the plan continues to represent the best interests of the plan participants as it becomes a mature plan. A short Q&A will be held after the session.

## GREATBANC / DOL SETTLEMENT: WHAT SHOULD YOU KNOW?

**Alan Kandel, Husch Blackwell LLP, St. Louis, MO**  
**Robert D. Grossman, Lathrop & Gage, LLP, Kansas City, MO**

In 2014, GreatBanc Trust Company and the Department of Labor settled litigation relating to the fiduciary obligations of a trustee in an ESOP transaction. The settlement agreement included procedures to be followed by an ESOP trustee, focusing on the appraisal and valuation process. Learn about the scope of the settlement agreement, recommended practices to be followed in an ESOP transaction, the effect of the settlement on ESOP transactions, and the reaction of ESOP trustees and professionals to the settlement. This session will be invaluable for those considering or engaged in an ESOP transaction, including sellers, trustees, and professional advisors. A short Q&A will be held after the session.

## WHO SHOULD ATTEND?

- Senior leadership and Board members
- Internal and external ESOP trustees
- Managers with ESOP technical responsibilities
- ESOP Committee members
- Professionals who want to keep current on ESOP issues
- Companies considering an ESOP

## DIRECTIONS / PARKING

- **ALLOW A LITTLE EXTRA TIME TO GET DOWNTOWN.** There is street car construction in the downtown area (primarily on Main Street) and detour signs may be posted the day of the seminar.
- Parking will be available in the 910 Walnut Parking Garage. If this garage is full, park in the Commerce Bank Garage located at 1025 Main Street. Additional instructions and a detailed map showing the downtown area will be sent with your registration confirmation.
- Parking in either garage will be provided courtesy of Commerce Bank. Bring your parking ticket with you so it can be validated during the registration process.

**REGISTER TODAY. Early bird discount applies through April 9, 2015.**

**THE ESOP ASSOCIATION ~ SERVING THE ENTIRE ESOP COMMUNITY**